| SEC Form 4 |  |
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person <sup>*</sup><br>Carven Gregory John |  |                      |             |                               |          |  | er Name <b>and</b> Tick<br>lar Rock Ho  |   |           |           | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>Director 10% Owner |  |   |   |          |   |            |  |
|---|--|----------------------|-------------|-------------------------------|----------|--|---|---|-----------|-----------|--|--|---|---|----------|---|------------|--|
| (Last)<br>301 BIN   | (F<br>NEY STRI   | =irst)<br>EET        |             | (Middle)                      |          | 3. Date<br>06/16/  | of Earliest Trans                       | action (M                                     | )ay/Year) |           | X Officer (give title Other (specify below) below)<br>Chief Scientific Officer                   |  |   |   |          |   |            |  |
| (Street)<br>CAMBR<br>(City)   |  | <b>∕IA</b><br>Statej | )           | 02142<br>(Zip)<br>Die I - Nor | 1-Deriva | 4. If Amendment, Date of Original Filed (Month/Day/Year)   |   |   |           |           |  |  | 6. Individual or Joint/Group Filing (Check Applicable<br>Line)<br>X Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person |   |          |   |            |  |
| 1. Title of Security (Instr. 3)<br>Date<br>(Month/D                         |  |                      |             |                               |          | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year | 3.<br>Transaction<br>Code (Instr.<br>8) |   |           |           |  | 5. Amou<br>Securiti<br>Benefic<br>Owned<br>Reporte | es<br>ially<br>Following  | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | ect E    | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |            |  |
|   |  |                      |             |                               |          |  |   | Code  | v         | Amount    | (A) or<br>(D) Pric   |  | Transac<br>(Instr. 3  | tion(s)   |          |   | (Instr. 4) |  |
| Common Stock 06/16  |  |                      |             |                               |          |  |   | Α   |           | 45,500(1) | A  | \$ <mark>0</mark>                                  | 128   | ,208 <sup>(2)</sup>   | D        |   |            |  |
|   | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities) |                      |             |                               |          |  |   |   |           |           |  |  |   |   |          |   |            |  |
| 1. Title of   | 2.   | 3. 1                 | Fransaction | 3A. Deemed                    | d 4.     |  | 5. Number                               | umber 6. Date Exercisable and 7. Title and Am |           |           |  |  | 8. Price of   | 9. Number   | r of 10. |   | 11. Nature |  |

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | 5. Numb<br>of<br>Derivati<br>Securiti<br>Acquire<br>(A) or<br>Dispose<br>of (D) (II<br>3, 4 and | ive<br>ies<br>ed<br>ed<br>nstr. | 6. Date Exerc<br>Expiration Da<br>(Month/Day/Y | ate                | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|---|---------------------------------|--|--------------------|---|--|---|--|--|--|
|   |   |  |   | Code                         | v | (A)   | (D)                             | Date<br>Exercisable                            | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |
| Stock<br>Option<br>(Right to<br>Buy)                | \$4.86  | 06/16/2022                                 |   | A                            |   | 45,500  |                                 | (3)  | 06/16/2032         | Common<br>Stock   | 45,500                                 | \$0   | 45,500   | D  |  |

Explanation of Responses:

1. Each restricted stock unit ("RSU") represents the contingent right to receive, upon vesting of the RSU, one share of the Issuer's common stock. The shares subject to this RSU vest in three annual installments over three years, with the first annual installment to vest on June 15, 2023 and each additional installment to vest annually thereafter; provided the individual continues to have a service relationship with the Company on such vesting date.

2. Consists of 38,258 shares of common stock and 89,950 RSUs.

3. Options shall vest and become exercisable in twelve quarterly installments over three years, with the first quarterly installment to vest on September 16, 2022 and each additional installment to vest quarterly thereafter, provided the individual continues to have a service relationship with the Company on such vesting date.

/s/ Junlin Ho, Attorney-in-Fact 06/21/2022 for Gregory John Carven

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{*}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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